



EXPRESS MAIL NO. EV336593992US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aloys Wobben
Application No. : 10/088,011
Filed : September 7, 2000
For : METHOD OF REACTIVE POWER REGULATION AND
APPARATUS FOR PRODUCING ELECTRICAL ENERGY IN AN
ELECTRICAL NETWORK

Examiner : Pedro J. Cuevas
Art Unit : 2834
Docket No. : 970054.413USPC
Date : January 20, 2004

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR ISSUANCE OF A NEW OFFICE ACTION

Commissioner for Patents:

Applicants have received the Office Action dated December 18, 2003. In the Office Action, the Examiner did not treat claims 4-8 and 12-17. Instead, the Examiner stated that the claims were in multiple dependent claims and depended from other multiple dependent claims. This statement by the Examiner appears to be incorrect. In particular, on the day the application was converted into the United States, namely on March 13, 2002 a Preliminary Amendment was filed in which it was stated that the claims presently on file were to be replaced with the enclosed claims prior to examination the merits. Enclosed herewith is a copy of that Preliminary Amendment and the claims which were submitted therewith. In particular, as can be seen by reviewing these claims there are no claims in multiple dependent form in the entire



Application No. 10/088,011

Reply to Office Action dated December 18, 2003

application. Therefore, it is believed that these claims should have been treated on the merits. A request is made to the Examiner that a further examination be carried out and that the claims be treated on the merits. A new Office Action is requested which treats these claims on the merits.

Respectfully submitted,

SEED Intellectual Property Law Group PLLC

David V. Carlson

Registration No. 31,153

DVC:lcs

Enclosures:

Postcard

Copy of Preliminary Amendment dated 3/13/02

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Seattle, Washington 98104-7092
Phone: (206) 622-4900
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443724

EXPRESS MAIL NO. EV064845005US

FORM PTO-1390
(REV. 1-2000)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NO.

970054.413USPC

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Unknown

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/EP00/08745

INTERNATIONAL FILING DATE

07 September 2000 (07.09.00)

PRIORITY DATE CLAIMED

13 September 1999 (13.09.99)

TITLE OF INVENTION

METHOD OF REACTIVE POWER REGULATION AND APPARATUS FOR PRODUCING ELECTRICAL ENERGY IN AN ELECTRICAL NETWORK

APPLICANT(S) FOR DO/EO/US

WOBLEN, Aloys

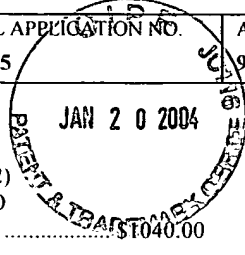
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☒ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items of information:

| | | |
|---|--|---|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unknown | INTERNATIONAL APPLICATION NO. PCT/EP00/08745 | ATTORNEY'S DOCKET NUMBER 970054.413USPC |
|---|--|---|



21. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1040.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$740.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

| | | | |
|--|--------------|------------------------|-------------------|
| ENTER APPROPRIATE BASIC FEE AMOUNT | = | \$890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$130.00 | |
| Claims | Number Filed | Number Extra | Rate |
| Total Claims | 17 - 20 = | 0 | x \$ 18.00 |
| Independent Claims | 2 - 3 = | 0 | x \$ 84.00 |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 |
| TOTAL OF ABOVE CALCULATIONS | | = | \$1,020.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | \$0.00 |
| SUBTOTAL | | = | \$1,020.00 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | \$0.00 |
| TOTAL NATIONAL FEE | | = | \$1,020.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | \$0.00 |
| TOTAL FEES ENCLOSED | | = | \$1,020.00 |
| | | Amount to be refunded: | |
| | | charged | |

a. ☒ A check in the amount of **\$1,020.00** cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

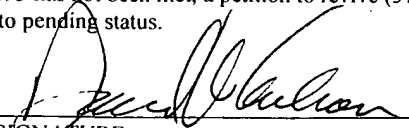
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **19-1090**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David V. Carlson, Esq.
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 701 5th Avenue, Suite 6300
 Seattle, WA 98104-7092
 United States of America
 (206) 622-4900



SIGNATURE

David V. Carlson

NAME

31,153

REGISTRATION NUMBER